

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.  
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X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket No. 54389  
X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK   )

DANIEL RAMIREZ, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 25, 2017, I caused to be served the "Notice of Defective Transfer," dated January 25, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

Sworn to before me this  
26<sup>th</sup> day of January, 2017

/s/ Sidney J. Garabato

Sidney J. Garabato

Notary Public, State of New York

No. 01GA6218946

Qualified in New York County

Commission Expires March 15, 2018

/s/ Daniel Ramirez  
Daniel Ramirez

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re:  
LEHMAN BROTHERS HOLDINGS, INC., et al.

Chapter 11

Case No. 08-13555 (SCC)

Jointly Administered

Debtors.

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**NOTICE OF DEFECTIVE TRANSFER**

Transferor: BANK HAPOALIM (SWITZERLAND) LTD  
ATTN: MARK LAVENDER  
STOCKERSTRASSE 33  
ZURICH 8027  
SWITZERLAND

Transferee: FALCON PRIVATE BANK LTD.  
ATTN: LEGAL & COMPLIANCE  
PELIKANSTRASSE 37  
ZURICH CH-8001  
SWITZERLAND

**Your transfer as presented is defective for the reason(s) checked below:**

- ☐ case closed on:
- ☐ address missing: (transferor) (transferee)
- ☐ signature of transferor missing
- ☐ claim number in error
- ☐ claim number not stated
- ☐ amount of transfer missing in Transfer Agreement
- ☐ not FRBP 3001(e)(2) OR (4); claim **NOT** on file
- ☐ not FRBP 3001(e)(1) OR (3); claim **ON** file
- ☐ notice amount does not match transfer agreement
- ☐ cannot docket transfer-claim #
  - ☐ expunged by court order
  - ☐ previously transferred
- ☐ By document #
- ☒ other
  - (specify): Docket does not include ISIN code from which claim to transfer

NOTE:

**Docket Number: 54389**

/s/ Lauren Rodriguez  
Epiq Bankruptcy Solutions LLC  
as Claims Agent for the Debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January, 25, 2017

## **EXHIBIT B**

BANK HAPOALIM (SWITZERLAND) LTD  
ATTN: MARK LAVENDER  
STOCKERSTRASSE 33  
ZURICH 8027  
SWITZERLAND

FALCON PRIVATE BANK LTD.  
ATTN: LEGAL & COMPLIANCE  
PELIKANSTRASSE 37  
ZURICH CH-8001  
SWITZERLAND